

Attorney Docket No.: 074451.P107

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kurt W. Piersol

Application No: 09/410,494

Filed: September 30, 1999

For: METHODS AND APPARATUSES FOR  
SEARCHING BOTH EXTERNAL PUBLIC  
DOCUMENTS AND INTERNAL PRIVATE  
DOCUMENTS IN RESPONSE TO A SINGLE  
SEARCH REQUEST

Commissioner For Patents  
Washington, D.C. 20231

Examiner: Young N. Won

Art Unit: 2155

CERTIFICATE OF FACSIMILE TRANSMISSION

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1-703-305-3718

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Signature

Date

AMENDMENT

Dear Sir:

In response to the Office Action mailed May 7, 2002, please enter this  
amendment and consider the following remarks.

IN THE SPECIFICATION

Please replace the paragraph on page 15, lines 4-10 with:

During the document storage process, the FMA creates appropriate directories, moves the document to the appropriate directory and updates the master list. The metadata file of the document to be stored is accessed and information from its "Capture data" field is retrieved, 270. If the document's "Capture date" or even the metadata file does not exist, 272, then the current system time is obtained and used as the document's "Capture date," 274. If, however, the document's "Capture date" does exist, 272, the system determines whether an appropriately named directory exists.

Please replace the paragraph on page 20, lines 6-13 with:

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP**

TELEPHONE: (503) 684-6200

INTELLECTUAL PROPERTY LAW  
5285 S.W. MEADOWS ROAD, SUITE 101  
LAKE OSWEGO, OR 97035

FACSIMILE: (503) 684-3245

**FACSIMILE COVER SHEET**

Deliver to: Young N. Won, UPSTO Art Group: 2155  
Facsimile No.: 1-703-305-3718 <sup>746-7239</sup> Date: June 6, 2002  
From: Paul A. Mendonsa, Reg. No. 42,879  
Our Docket No.: 74451P107 Number of pages 12 including this sheet.  
Application No.: 09/410,494 Filing Date: 9/30/99

Enclosed are the following documents:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Amendment: <u>8pp</u>  | <input type="checkbox"/> Issue Fee Transmittal                                    |
| <input type="checkbox"/> Appeal Brief (in triplicate) ( <u>    </u> pgs)                             | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application: <u>                                </u>                        | <input type="checkbox"/> Petition for: <u>                                </u>    |
| ( <u>    </u> pgs) w/cover & abstract)   | <input type="checkbox"/> Request for Continued Examination (RCE)                  |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>    </u> pgs)                                 | <input type="checkbox"/> Reply Brief ( <u>    </u> pgs)                           |
| <input checked="" type="checkbox"/> Certificate of Facsimile <u>                                </u> | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)     |
| <input type="checkbox"/> Continued Prosecution Application (CPA)                                     | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request       |
| <input type="checkbox"/> Declaration & POA ( <u>    </u> pgs)  | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Drawings: <u>    </u> sheets, <u>    </u> figures                           | <input type="checkbox"/> Response to Written Opinion ( <u>    </u> pgs)           |
| <input type="checkbox"/> Extension of Time: <u>                                </u>                  | <input type="checkbox"/> Terminal Disclaimer                                      |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate)                                   | <input type="checkbox"/> Transmittal of Publication Fee Due                       |
| <input type="checkbox"/> IDS & PTO/SB/08 ( <u>    </u> pgs)  | <input checked="" type="checkbox"/> Transmittal Letter                            |
| <input type="checkbox"/> Other <u>                                </u>                               |   |

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)**

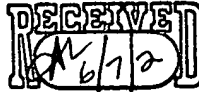
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Deborah L. Higham 06/6/2002  
Deborah L. Higham Date

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/410,494
		Filing Date	September 30, 1999
		First Named Inventor	Kurt W. Piersol
		Group Art Unit	2155
		Examiner Name	Young N. Won
Total Number of Pages in This Submission		Attorney Docket Number	74451P107

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):  <div style="border: 1px solid black; height: 60px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul A. Mendonsa, Reg. No. 42,879 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	June 6, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
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Typed or printed name	Deborah L. Higham		
Signature		Date	June 6, 2002

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.**TOTAL AMOUNT OF PAYMENT** (\$) 0.00**Complete if Known**

Application Number	09/410,494
Filing Date	September 30, 1999
First Named Inventor	Kurt W. Piersol
Examiner Name	Young N. Won
Group/Art Unit	2155
Attorney Docket No.	74451PI07

**METHOD OF PAYMENT (check one)**
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☐ Deposit Account
Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of the application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

**2. EXTRA CLAIM FEES**

Total Claims	30	-	33*	=	0	x	18.00	=	\$0.00
Independent Claims	3	-	6*	=	0	x	84.00	=	\$0.00
Multiple Dependent									

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*or number previously paid, if greater, For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
145	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,860	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Prosecuting fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
178	740	278	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

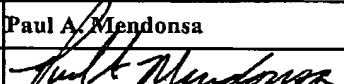
Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

**SUBMITTED BY**

Name (Print/Type)	Paul A. Mendonsa	Registration No. (Attorney/Agent)	42,879	Telephone	(503) 684-6200
Signature		Date	06/06/02		

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